



Sir:

PATENT Attorney Docket No. 7906.0020

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re Application of:   |                           |  |
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| TATSUO KOZAKAYA ET AL.  | )<br>Group Art Unit: 2621 |  |
| Application No.: 10/733,231   | )<br>Examiner: Unknown    |  |
| Filed: December 12, 2003  | ) Confirmation No.: 9476  |  |
| For: FACE IMAGE PROCESSING APPARATUS AND METHOD                         | )<br>)<br>)               |  |
| Commissioner for Patents<br>P.O. Box 1450<br>Alexandria, VA, 22313-1450 |                           |  |

## INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. § 1.97(b)

Pursuant to 37 C.F.R. §§ 1.56 and 1.97(b), Applicant brings to the attention of the Examiner the listed documents on the attached PTO SB/08 Form. This Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application.

Copies of the listed foreign and non-patent literature documents are attached.

Applicant respectfully requests that the Examiner consider the listed documents and indicate that they were considered by making appropriate notations on the attached form.

In lieu of a statement of relevance or translation of the non-English documents, Applicant provides a Notice of Reasons for Rejection issued by the Japanese Patent Office on January 30, 2007, in a counterpart Japanese application citing these documents and setting forth the relevance thereof. In addition, Applicant submits an English-language translation of the Notice.

This submission does not represent that a search has been made or that no better art exists and does not constitute an admission that each or all of the listed documents are material or constitute "prior art." If the Examiner applies any of the documents as prior art against any claim in the application and Applicant determines that the cited documents do not constitute "prior art" under United States law, Applicant reserves the right to present to the U.S. Patent and Trademark Office the relevant facts and law regarding the appropriate status of such documents.

Applicant further reserves the right to take appropriate action to establish the patentability of the disclosed invention over the listed documents, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to Deposit Account 06-0916.

Respectfully submitted,

FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER, L.L.P.

Dated:

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IDS Form PTO/SB/08: Substitute for form 1449A/PTO

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

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| Complete if Known | \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \                        |
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| U.S. PATENTS AND PUBLISHED U.S. PATENT APPLICATIONS |      |  |                                      |  |   |  |
|---|------|--|--------------------------------------|--|---|--|
|   | Cite | Document Number                          | Issue or Publication Date MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines, Where                    |  |
|   | No.¹ | Number-Kind Code <sup>2</sup> (if known) |                                      |  | Relevant Passages or Relevant<br>Figures Appear |  |
|   |      | US-                                      |                                      |  |   |  |
|   | •    | US-                                      |                                      |  |   |  |
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|   |      | US-                                      |                                      |  |   |  |

Note: Submission of copies of U.S. Patents and published U.S. Patent Applications is not required.

| FOREIGN PATENT DOCUMENTS |                          |   |                                |  |  |                          |
|--------------------------|--------------------------|---|--------------------------------|--|--|--------------------------|
| Examiner<br>Initials     | Cite<br>No. <sup>1</sup> | Foreign Patent Document  Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> ( <i>if known</i> ) | Publication Date<br>MM-DD-YYYY | Name of Patentee or<br>Applicant of Cited Document | Pages, Columns, Lines,<br>Where Relevant Passages<br>or Relevant Figures<br>Appear | Translation <sup>6</sup> |
|                          |                          | JP 2001-331799  | 11-30-2001                     |  |  |                          |
|                          |                          | JP 2000-163469  | 06-16-2000                     |  |  |                          |
|                          |                          | JP 59-182689  | 10-17-1984                     |  |  |                          |
|                          |                          |   |                                |  |  |                          |

| NONPATENT LITERATURE DOCUMENTS |  |   |             |  |
|--------------------------------|--|---|-------------|--|
| Examiner Cite No.1             |  | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. |             |  |
|                                |  | Notice of Reasons for Rejection issued by the Japanese Patent Office on January 30, 2007, for Japanese Patent Application No. 2002-360226, and English-language translation thereof   |             |  |
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| Examiner  | Date       |  |
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| Signature | Considered |  |
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EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.